

SEP 28 2006

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**TO:** MAIL STOP APPEAL BRIEF – PATENTS  
Commissioner for Patents  
United States Patent and Trademark Office

Fax No. 571-273-8300 Phone No.

**FROM:** Ineke Sweeney

Fax No. 513-634-3612 Phone No. 513-634-4277

Application No.: 10/619,656

Inventor(s): Hilbig, et al.

Filed: July 15, 2003

Docket No.: CM2504RQ

Confirmation No.: 7175

**FACSIMILE TRANSMITTAL SHEET AND**  
**CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8**

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- 1) Appeal Brief
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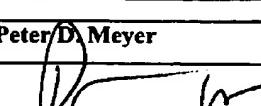
SEP 28 2006

PTO/SB/17 (1-06)  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>FEE TRANSMITTAL for FY 2006</b>  Patent fees are subject to annual revision. Effective December 8, 2004		<b>Complete if Known</b>	
		Application Number	10/619,656
		Confirmation Number	7175
		Filing Date	July 15, 2003
		First Named Inventor	Hilbig
		Examiner Name	J. A. Fortuna
		Art Unit	1731
<b>TOTAL AMOUNT OF PAYMENT (\$500.00)</b>		Docket No.	CM2504RQ

<b>METHOD OF PAYMENT</b>			<b>FEES CALCULATION (continued)</b>																																		
<p>1. [X] The Director is hereby authorized to charge indicated fees submitted on this form, credit any over payments, and charge any additional fee(s) during the pendency of this application to:</p> <p>Deposit Account Number: 16-2480 Deposit Account Name: The Procter &amp; Gamble Company</p>			<p>5. <b>ADDITIONAL FEES</b></p> <table> <thead> <tr> <th style="text-align: left;"><u>Fee Description</u></th> <th style="text-align: right;"><u>Fees Paid</u></th> </tr> </thead> <tbody> <tr> <td>Extension for reply within 1<sup>st</sup> month</td> <td style="text-align: right;">(\$120) <input type="checkbox"/></td> </tr> <tr> <td>Extension for reply within 2<sup>nd</sup> month</td> <td style="text-align: right;">(\$450) <input type="checkbox"/></td> </tr> <tr> <td>Extension for reply within 3<sup>rd</sup> month</td> <td style="text-align: right;">(\$1,020) <input type="checkbox"/></td> </tr> <tr> <td>Extension for reply within 4<sup>th</sup> month</td> <td style="text-align: right;">(\$1,590) <input type="checkbox"/></td> </tr> <tr> <td>Extension for reply within 5<sup>th</sup> month</td> <td style="text-align: right;">(\$2,160) <input type="checkbox"/></td> </tr> <tr> <td>Information Disclosure Statement fee</td> <td style="text-align: right;">(\$180) <input type="checkbox"/></td> </tr> <tr> <td>37 CFR 1.16(f) Late Oath/Declaration (nonprovisional)</td> <td style="text-align: right;">(\$130) <input type="checkbox"/></td> </tr> <tr> <td>37 CFR 1.17 (q) Surcharge - Late provisional filing fee or cover sheet</td> <td style="text-align: right;">(\$50) <input type="checkbox"/></td> </tr> <tr> <td>Non-English specification</td> <td style="text-align: right;">(\$130) <input type="checkbox"/></td> </tr> <tr> <td>Notice of Appeal</td> <td style="text-align: right;">(\$500) <input type="checkbox"/></td> </tr> <tr> <td>Filing a brief in support of an appeal</td> <td style="text-align: right;">(\$500) <input checked="" type="checkbox"/></td> </tr> <tr> <td>Request for oral hearing</td> <td style="text-align: right;">(\$1,000) <input type="checkbox"/></td> </tr> <tr> <td>Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c) (\$1,370)</td> <td style="text-align: right;">(<input type="checkbox"/></td> </tr> <tr> <td>Other: _____</td> <td style="text-align: right;"><input type="checkbox"/></td> </tr> </tbody> </table>		<u>Fee Description</u>	<u>Fees Paid</u>	Extension for reply within 1 <sup>st</sup> month	(\$120) <input type="checkbox"/>	Extension for reply within 2 <sup>nd</sup> month	(\$450) <input type="checkbox"/>	Extension for reply within 3 <sup>rd</sup> month	(\$1,020) <input type="checkbox"/>	Extension for reply within 4 <sup>th</sup> month	(\$1,590) <input type="checkbox"/>	Extension for reply within 5 <sup>th</sup> month	(\$2,160) <input type="checkbox"/>	Information Disclosure Statement fee	(\$180) <input type="checkbox"/>	37 CFR 1.16(f) Late Oath/Declaration (nonprovisional)	(\$130) <input type="checkbox"/>	37 CFR 1.17 (q) Surcharge - Late provisional filing fee or cover sheet	(\$50) <input type="checkbox"/>	Non-English specification	(\$130) <input type="checkbox"/>	Notice of Appeal	(\$500) <input type="checkbox"/>	Filing a brief in support of an appeal	(\$500) <input checked="" type="checkbox"/>	Request for oral hearing	(\$1,000) <input type="checkbox"/>	Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c) (\$1,370)	( <input type="checkbox"/>	Other: _____	<input type="checkbox"/>			
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<p>4. <b>EXTRA CLAIM FEES FOR UTILITY AND REISSUE:</b></p> <table> <thead> <tr> <th style="text-align: left;"><u>Extra Claims</u></th> <th style="text-align: left;"><u>Fee from Below</u></th> <th style="text-align: left;"><u>Fee Paid</u></th> </tr> </thead> <tbody> <tr> <td>Total Claims <input type="checkbox"/> - 20** = <input type="checkbox"/> x <input type="checkbox"/> = <input type="checkbox"/></td> <td></td> <td></td> </tr> <tr> <td>Independent Claims <input type="checkbox"/> - 3** = <input type="checkbox"/> x <input type="checkbox"/> = <input type="checkbox"/></td> <td></td> <td></td> </tr> <tr> <td>Multiple Dependent claims: <input type="checkbox"/> = <input type="checkbox"/></td> <td></td> <td></td> </tr> </tbody> </table> <p>** or number previously paid, if greater; For Reissues, see below</p> <p><u>Fee Description</u></p> <p>Claims in excess of 20 (\$50 per claim) Independent claims in excess of 3 (\$200 per claim) Multiple dependent claim, if not paid (\$360) **Reissue: each independent claim over 3 and more than in the original patent (\$200 per claim) **Reissue claims: each claim over 20 and more than original patent (\$50 per claim)</p>			<u>Extra Claims</u>	<u>Fee from Below</u>	<u>Fee Paid</u>	Total Claims <input type="checkbox"/> - 20** = <input type="checkbox"/> x <input type="checkbox"/> = <input type="checkbox"/>			Independent Claims <input type="checkbox"/> - 3** = <input type="checkbox"/> x <input type="checkbox"/> = <input type="checkbox"/>			Multiple Dependent claims: <input type="checkbox"/> = <input type="checkbox"/>																									
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<b>SUBMITTED BY</b>				Complete (if applicable)	
Name (Print/Type)	Peter D Meyer	Registration No. (Attorney/Agent)	47,792	Telephone	(513) 634-7419
Signature				Date	September 28, 2006

+ This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Fee Transmittal doc (Revised for P&amp;G use 04/23/2006)

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SEP 28 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Application No.** : 10/619,656  
**Inventor(s)** : Hilbig, et al.  
**Filed** : July 15, 2003  
**Art Unit** : 1731  
**Examiner** : J. A. Fortuna  
**Docket No.** : CM2504RQ  
**Confirmation No.** : 7175  
**Customer No.** : 27752  
**Title** : LOTIONED AND EMBOSSED TISSUE PAPER

**APPEAL BRIEF**

Mail Stop Appeal Brief - Patents  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir,

This is Appellants' Brief relating to an appeal from the May 9, 2006 Final Rejection in the above-identified Application. The Notice of Appeal was deposited with a Certificate of Facsimile transmission and addressed to Commissioner for Patents, Alexandria, VA 22313-1450 on August 9, 2006, and was noted as received by the U.S. PTO on August 9, 2006.

**I. Real Party in Interest**

The real party in interest for the present Application S.N. 10/619,656 is The Procter & Gamble Company of Cincinnati, OH by virtue of the Assignment recorded on November 4, 2003, at Reel No. 014152, Frame 0340.

**II. Related Appeals and Interferences**

There are no related appeals or interferences known to Appellants' undersigned legal representative, which will directly affect, or be directly affected by or have a bearing on, the Board's decision in the present appeal.

### III. Status of Claims

In the Notice of Appeal, Appellants appealed the final rejection of all pending Claims 1-7. The complete copy of the appealed Claims is set forth in the Appendix.

Claims 1-7 stand finally rejected under 35 U.S.C. §103(a) over Chen, et al., U.S. Patent No. 5,990,377 in view of Applicant's admission further evidenced by Müller, GB Patent No. 2,376,436 A; Roussel, et al., International Publication No. WO 99/45205; Hein, et al., U.S. Patent No. 5,863,107 B2; or Kamps, et al., U.S. Patent No. 5,702,571.

Claims 1-7 stand finally rejected under 35 U.S.C. §103(a) over Luu, et al., U.S. Patent No. 6,352,700 in view of Kamps, et al., U.S. Patent No. 5,702,571.

### IV. Status of the Amendments

No amendments to the instant claims have been filed by Appellants in response to the Final Office Action dated May 9, 2006. No amendments to the claims have been filed after receipt of the Advisory Action dated June 23, 2006.

### V. Summary of the Claimed Subject Matter

According to independent Claim 1, a method for making a tissue paper from a tissue paper web comprises the steps of passing the tissue paper web through an embossing nip formed between a first and a second embossing roll and applying a transferable lotion to at least portions of the tissue paper web. (6:23-25; 8:16-17) At least one of the embossing rolls comprises at least thirty embossing elements per square centimeter. (7:4-7) The transferable lotion is applied to the tissue paper web so that the tissue paper product is adapted to transfer a first quantity of the transferable lotion upon stationary contact with a glass surface and transfer a second quantity of the transferable lotion upon dynamic contact with a glass surface. (9:4-8; 10:11-14) The second quantity is at least two times greater than the first quantity. (10:11-14)

Claim 2 requires the step of the embossing roll comprising at least fifty embossing elements per square centimeter. (7:5)

Claim 3 requires the embossing elements to have a height of less than 0.5 mm. (7:10)

Claim 4 requires the first embossing roll to have a web contacting surface comprising a rubber material and the second embossing roll to have a web contacting surface comprising a hard material. (7:21-25)

Claim 5 requires that the step of applying a lotion to at least portions of the tissue paper web is carried out after the step of passing the tissue paper web through the embossing nip. (8:16-17)

Claim 6 further comprises the step of cutting the sheets to provide paper tissue products. (13:1-3)

Claim 7 provides for a tissue paper product made according Claim 1. (10:17-20; generally)

#### VI. *Grounds of Rejection to Be Reviewed on Appeal*

1. Claims 1-7 stand finally rejected under 35 U.S.C. §103(a) over Chen, et al., U.S. Patent No. 5,990,377 in view of Appellants' admission further evidenced by Müller, GB Patent No. 2,376,436 A; Roussel, et al., International Publication No. WO 99/45205; Hein, et al., U.S. Patent No. 5,863,107 B2; or Kamps, et al., U.S. Patent No. 5,702,571.

2. Claims 1-7 stand finally rejected under 35 U.S.C. §103(a) over Luu, et al., U.S. Patent No. 6,352,700 in view of Kamps, et al., U.S. Patent No. 5,702,571.

#### VII. *Argument*

As set forth below, the invention defining the appealed claims is unobvious over the cited references under 35 U.S.C. §103(a). Therefore, all rejections to the claims under 35 U.S.C. §103(a) should be reversed.

##### A. *Claims 1-7 Are Unobvious Over Chen In View of Appellants' Admission Müller, Rousse, Hein, and Kamps.*

Claims 1-7 stand finally rejected under 35 U.S.C. §103(a) over Chen, et al., U.S. Patent No. 5,990,377 (the '377 reference) in view of Appellants' admission further evidenced by Müller, GB Patent No. 2,376,436 A (the '436 reference); Roussel, et al., International Publication No. WO 99/45205 (the '205 reference); Hein, et al., U.S. Patent No. 5,863,107 B2 (the '107 reference); or Kamps, et al., U.S. Patent No. 5,702,571 (the '571 reference). The '377 reference teaches a dual-zoned, three-dimensional resilient absorbent web suitable for use as a body side liner for absorbent articles, such as feminine pads, diapers, and the like. (Abstract) The '377 reference discloses that aqueous emulsions and emulsifiable compositions may be used for coating paper and the like. (35:25-43)

Ostensibly, the hydrophobic matter is applied in a manner designed to limit lateral (in-plane) wicking of liquids to prevent seepage or leakage from the edges of an absorbent article while also improving the dry feel. (33:4-8) While other aqueous emulsions and emulsifiable compositions can be applied to [a substrate], “[t]he hydrophobic matter may also comprise formulations intended to *promote skin wellness and comfort.*” (35:25-34; emphasis added) Thus, it is abundantly clear that the *Chen* reference desires to provide a comfortable feel to the resulting absorbent web but does not contemplate transference of such products from the treated web to the user.

The Examiner asserts that, “. . . the tissue of the combination of references, as cited in the previous action, would *inherently* have the same properties of the claimed tissue.”<sup>1</sup> Additionally, the Examiner asserts that, [t]he embossing as taught by the secondary references would *inherently* impart the same properties to the paper of the primary reference(s).<sup>2</sup> Appellants are at a loss to understand 1) how the combination of references cited by the Examiner “inherently” provides the same properties of Appellants’ claimed invention, and 2) how inherency can make Appellants’ claimed invention obvious. This is simply not the legal test for obviousness under settled Federal Circuit case law.

A proper obvious analysis under 35 U.S.C. §103(a) requires, *inter alia*, the consideration of two factors: 1) whether the prior art would have *suggested* to those of ordinary skill in the art that they should make the claimed composition or device or carry out the claimed process; and 2) whether the prior art would also have revealed that in so making or carrying out, those of ordinary skill would have a reasonable expectation of success.<sup>3</sup> Without some disclosure, teaching, or suggestion, there is no factual or legal basis that supports the Examiner’s position.

In following the *Noelle* test, the disclosures of the secondary references cited by the Examiner do nothing and, in fact, do not even provide for a modicum of a suggestion or motivation to provide for Appellants’ claimed method for making a tissue. Nowhere within the *Chen* reference is there a suggestion to provide a composition disposed within the substrate to transfer a first quantity of the composition upon stationary contact with a glass surface and transfer a second quantity of the composition upon dynamic contact with a glass surface. The *Chen* reference is an absorbent article and indeed does not even contemplate

<sup>1</sup> May 9, 2006 Office Action, p. 2; emphasis added

<sup>2</sup> *Id.*; emphasis added

<sup>3</sup> See *Noelle v. Ledderman*, 355 F.3d 1343, 69 U.S.P.Q. 2d 1508 (Fed.Cir. 2004); emphasis added

such transference, as required by Appellants' claimed invention. Any transference of a substance from a *Chen* treated web would likely be undesirable. The *Chen* web seeks to absorb; not transfer. The cited secondary references do nothing to cure these defects.

Assuming, *arguendo*, that one could even combine the *Chen* and all the secondary references, they do not teach Appellants' claimed invention. This is because none of the references discloses, teaches, or even remotely suggests a method for making a tissue paper product from a tissue paper web, as claimed by Appellants. The Examiner has provided no clear and particular suggestion of combinability within any of the cited references that would render Appellants' Claims 1-7 obvious.

Appellants respectfully believe that the '377 reference, in view of the cited secondary references, does not teach, disclose, or even remotely suggest each and every element of Appellants' claimed invention. In particular, the combination of the '377 reference and the cited secondary references do not disclose or suggest Appellants' claimed method for making a tissue paper product from a tissue paper web. Accordingly, the rejection of the instant claims under 35 U.S.C. §103(a) over the '377 and the cited secondary references should be withdrawn.

B. Claims 1-7 Are Unobvious Over Luu In View of Kamps.

Claims 1-7 stand finally rejected under 35 U.S.C. §103(a) over Luu, et al., U.S. Patent No. 6,352,700 (the '700 reference) in view of Kamps, et al., U.S. Patent No. 5,702,571 (the '571 reference). The Examiner indicates that, "As to the transfer of the lotion, one of ordinary skill in the art would have expected similar ratio as claimed."<sup>4</sup> "Applicants examples demonstrate that the same claimed ratio of lotion is transferred irrespectively if the tissue is embossed or not [thus] clearly [showing] that the dynamic transfer is more than [sic] double of the static transfer, 21.83% vs. 5.14%."<sup>5</sup> The Examiner concludes that, "One of ordinary skill in the art would expect at least [sic] if the tissues of the primary references were embossed as suggested by the secondary references."

Again, the Examiner has not provided any teaching or suggestion within the '700 and '571 references with some rational underpinning to support the legal conclusion of obviousness obtained in the instant Application.<sup>6</sup> Using Appellants' disclosure to provide the necessary rationale for an obviousness rejection is impermissible under settled Federal

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<sup>4</sup> May 9, 2006 Office Action, p. 3

<sup>5</sup> *Id.*

<sup>6</sup> *In re Kahn*, Fed. Cir. No. 04-1616, 3/22/06

Circuit law. "An inventor's explanation of how the invention works does not render obvious that which is otherwise unobvious." (*In re Glaug*, 283 F.2d 1335, 62 U.S.P.Q. 2d 1151 (20020))

In any case, the combination of the '700 and '571 references does not teach, disclose, or even provide for a modicum of suggestion for each and every element of Appellants' claimed invention. In particular, no references cited by the Examiner disclose or provide any motivation or suggestion to teach Appellants' claimed process for making a tissue paper product from a tissue paper web having the required transference capability. Appellants' claimed subject matter is unobvious over the '700 and '571 references, either alone or in combination. Accordingly, the rejection of Claims 1-7 under 35 U.S.C. §103(a) over the '700 and '571 references should be withdrawn.

### VIII. Conclusion

The Examiner has not satisfied the burden of demonstrating that Appellants' claimed invention is inadequately claimed and/or obvious over the cited references. Specifically, nothing in the cited references, when taken individually or even in combination with Appellants' specification, teaches, discloses, or even remotely suggests Appellants' claimed method for making a tissue paper product from a tissue paper web.

Thus, for the reasons stated above, the Board is respectfully requested to find Claims 1-7 of the instant Application allowable over the references cited by the Examiner.

Respectfully submitted,

THE PROCTER & GAMBLE COMPANY

By \_\_\_\_\_

Heter D. Meyer  
Attorney for Appellants  
Registration No. 47,792  
(513) 634-7419

September 26, 2006  
Customer No. 27752

## CLAIMS APPENDIX

1. (Rejected) A method for making a tissue paper product from a tissue paper web, said method comprising the steps of:
  - passing said tissue paper web through an embossing nip formed between a first and a second embossing roll, wherein at least one of said embossing rolls comprises at least 30 embossing elements per square centimeter; and,
  - applying a transferable lotion to at least portions of said tissue paper web such that said tissue paper product is adapted to transfer a first quantity of said transferable lotion upon stationary contact with a glass surface and transferring a second quantity of said transferable lotion upon dynamic contact with a glass surface, wherein said second quantity is at least 2 times greater than said first quantity.
2. (Rejected) The method according to claim 1, wherein least one of said embossing rolls comprises at least 50 embossing elements per square centimeter.
3. (Rejected) The method of claim 1, wherein said embossing elements have a height of less than 0.5 mm.
4. (Rejected) The method of claim 1, wherein said first embossing roll has a web contacting surface comprising a rubber material and said second embossing roll has a web contacting surface comprising a hard material.
5. (Rejected) The method of claim 1, wherein in that said step of applying a lotion to at least portions of said tissue paper web is carried out after said step of passing said tissue paper web through an embossing nip.
6. (Rejected) The method of claim 1 further comprising a step of cutting sheets as to provide paper tissue products.

7. (Rejected) A tissue paper product made according to claim 1.

8. (Cancelled)

**EVIDENCE APPENDIX****N/A**

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**RELATED PROCEEDINGS APPENDIX****N/A**